

In re application of: Lee et al.  
Serial No.: 09//892,805 Group: Art Unit 2855  
Filed: June 27, 2001 Examiner: M. Cygan  
For: **ULTRA-FINE ALIGNMENT SYSTEM AND METHOD USING  
ACOUSTIC-AFM INTERACTION**

Mail Stop Non-Fee Amendment  
Commissioner for Patents  
PO Box 1450  
Alexandria VA 22313-1450

**AMENDMENT TRANSMITTAL FORM**

Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☐ Small entity status of this application under 37 C.F.R. § 1.9 and 1.27 has been established by a verified statement previously submitted.  
☐ A verified statement to establish small entity under 37 C.F.R. § 1.9 and 1.27 is enclosed.  
☒ No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY	OTHER THAN SMALL ENTITY
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE
					OR RATE
					ADDIT. FEE
TOTAL	21*	MINUS	20**	= 0 X 9	\$ 0 X 18 \$ 0
INDEP.	3*	MINUS	3***	= 0 X 42	\$ 0 X 84 \$ 0
[ ] FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				X 140	\$ X 280 \$ 0
TOTAL				ADDIT. FEE \$ 0	OR TOTAL \$ 0

\* If the entry in Co. 1 is less than entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".


\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \$\_\_\_\_. Two (2) copies of this sheet are enclosed.  
☐ Please charge fee of \$\_\_\_\_ for \_\_\_\_\_ by Credit Card Payment Form PTO-2038 enclosed herewith  
☒ Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. **50-0679**. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. **50-0679** therefor. **TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.**

F. CHAU & ASSOCIATES  
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Respectfully submitted,

  
Frank V. DeRosa  
Reg. No. 43,584  
Attorney for Applicant

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Mail Stop Non-Fee Amendment, Commissioner for Patents, PO Box 1450, Alexandria VA 22313-1450 on **July 30, 2003**.

Dated: 7/30/03

  
Frank V. DeRosa



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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Lee, et al.

**Serial No:** 09/892,805

**Filed:** June 27, 2001



**Examiner:** M. Cygan

**Group:** Art Unit 2855

**Docket:** 2001P11064US (8055-89)

*Handwritten:* #61a, JPR, 82703

**For:                    ULTRA-FINE ALIGNMENT SYSTEM AND METHOD USING  
                             ACOUSTIC-AFM INTERACTION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT**

This is a response to the Office Action mailed on April 30, 2003. Please amend the  
above-identified application as follows:

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**CERTIFICATE OF MAILING 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence (and any document referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail, postage paid in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 30, 2003.

Dated: 7/30/03

*Handwritten signature of Frank V. DeRosa*  
Frank V. DeRosa